

## Title

A nitrogen footprint model to help consumers understand their role in nitrogen losses to the environment

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## Abstract

The human use of reactive nitrogen ( $N_r$ ) in the environment has profound beneficial and detrimental impacts on all people. Its beneficial impacts result from food production and industrial application. The detrimental impacts occur because most of the  $N_r$  used in food production and the entire amount of  $N_r$  formed during fossil fuel combustion are lost to the environment where it causes a cascade of environmental changes that negatively impact both people and ecosystems.

We developed a tool called N-Calculator, a nitrogen footprint model that provides information on how individual and collective action can result in the loss of  $N_r$  to the environment. The N-Calculator focuses on food and energy consumption, using average per capita data for a country. When an individual uses the N-Calculator, the country average is scaled based on the individual's answers to questions about resource consumption.

N footprints were calculated for the United States and the Netherlands, which were found to be 41 kg N/capita/yr and 24 kg N/capita/yr, respectively. For both countries, the food portion of the footprint is the largest, and the food production N footprints are greater than the food consumption N footprints.

The overarching message from the N-Calculator is that our lifestyle choices, and especially our food consumption, have major impacts on the  $N_r$  losses to the environment. Communicating this message to all of the stakeholders (the public, policymakers, and governments) through tools like the N-Calculator will help reduce  $N_r$  losses to the environment.

**Keywords:** Nitrogen; footprint; food production; energy usage; nitrogen cascade; environment

## 1. Introduction

The human use of reactive nitrogen ( $N_r$ , all nitrogen species except  $N_2$ ) in the environment has profound beneficial and detrimental impacts on all people. Agricultural uses, including both food production and consumption, contribute the most  $N_r$  to the global environment; the burning of fossil fuels is the next biggest contributor. The beneficial impacts of the agricultural use of  $N_r$  are related to food production using nitrogen fertilizer and human-enhanced biological nitrogen fixation. These two processes provide the  $N_r$  to sustain about half of the world's population [1]. The detrimental impacts result because most of the  $N_r$  used in food production and the entire amount of  $N_r$  formed during fossil fuel combustion are lost to the environment.

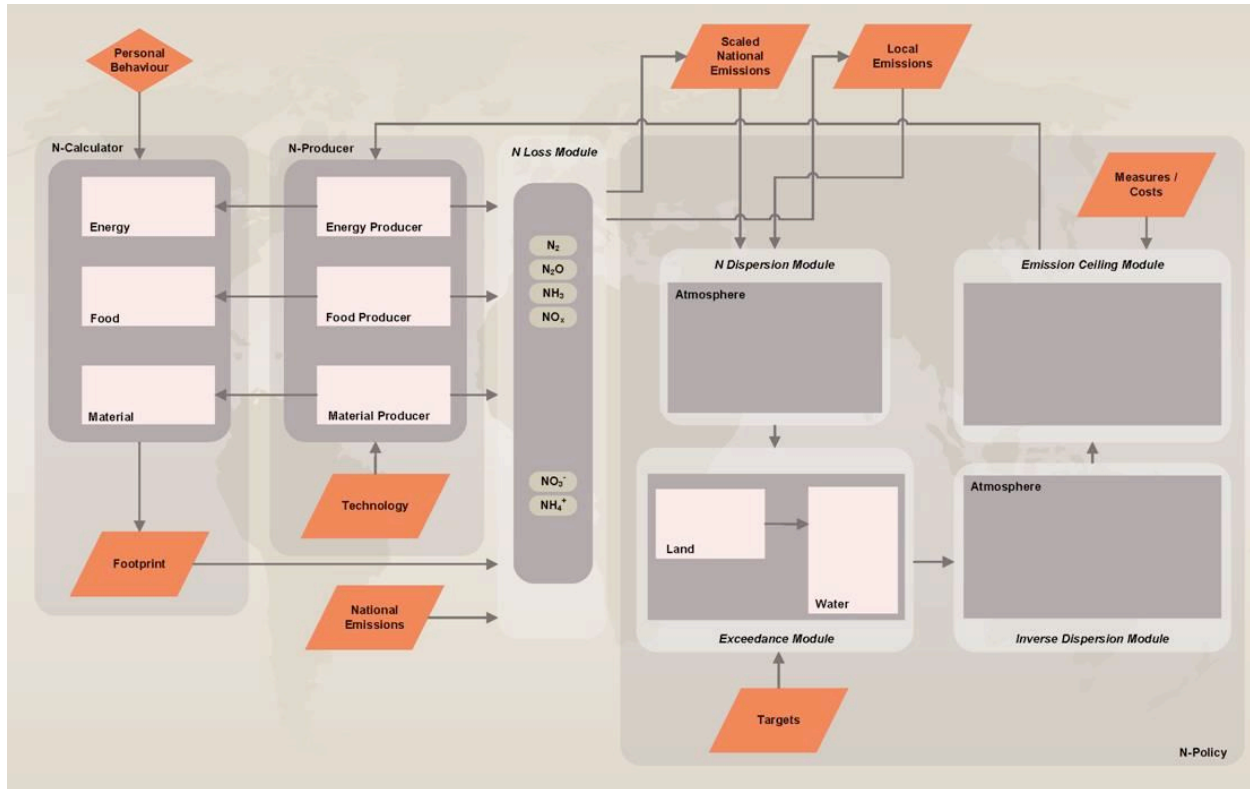
Once lost to the environment, the nitrogen moves through the Earth's atmosphere, forests, grasslands and waters causing a cascade of environmental changes that negatively impact both people and ecosystems. These changes include smog, acid rain, forest dieback, coastal 'dead zones', biodiversity loss, stratospheric ozone depletion and an enhanced greenhouse effect [2,3]. The disruption of the N cycle and its impacts necessitate measures to optimize food production and energy use while minimizing the effects. Therefore policies, measures, and behavioral changes are essential. Here we describe tools that can support policymakers, stakeholders, producers, and consumers in that process.

### 1.1 Communicating the N story

Of all the chemical elements, nitrogen is one whose abundance has been increased the most by human activity [1]. Globally, humans contribute about twice the amount of nitrogen to the environment as do all the natural terrestrial processes; on a regional basis it can be many-fold more (e.g., US, 5-fold [4]; Europe, 4-fold [5]). In contrast, human activity contributes 5-10% of  $CO_2$  emissions; natural processes contribute the remainder [6].

Over the past decade, there have been significant advances in our scientific understanding of the magnitude and consequences of the human alteration of the nitrogen cycle. With these scientific advances, and a much better understanding of how to decrease the negative impacts of nitrogen without impacting the ability to produce food and use energy, we feel it is appropriate to address the challenge of communication and to help consumers and policymakers minimize their role in the disruption of the N cycle and the resulting environmental consequences. We plan to do this by means of a collection of tools brought together in a system called N-PRINT (Figure 1). Ultimately, N-PRINT will be able to describe how  $N_r$  is lost to the environment and its resulting impacts due to individual (consumer) and collective (producers and society) consumption behavior and the ways in which policy can have an effect on these losses.

Figure 1. Schematic of the N-PRINT system: N-Calculator calculates the consumer footprint; N-Producer calculates the footprint of producers (e.g., farmers); and N-Policy calculates the effect of measures and policies on the N cycle.



In this paper we will focus on the N-Calculator, which is a per capita N footprint model that defines an N footprint as the total amount of  $N_r$  that is lost to the environment due to individual's consumption of food and energy. The N-Calculator provides an integrated approach that takes into account not only the food and energy consumed by individuals, but also the “up-stream” processes that release  $N_r$  to the environment by virtue of the production of the food, energy, goods and services that individuals use.

## 1.2 The footprint concept and existing tools

The ‘footprint’ concept has been developed over the last decade to serve as a metric of the single or collective impacts of people on the environment, relative to the capacity of the planet to support those people. There are a number of existing footprint calculators, with The Ecological Footprint being one of the earliest and the most well known with components like energy, food and fiber, timber and paper, and built-up land [7,8,9].

Several groups have examined  $N_r$  flows associated with the use of food and energy by people and regions (e.g., cities, nations). For example, the Chesapeake Bay Foundation in the US has a calculator [10] that estimates a household's contribution to  $N_r$  inputs to the Bay resulting from energy use, sewage production and lawn fertilizer usage. A much more specific calculator has been prepared to estimate N, C and P fluxes in 360 household ecosystems in the Minneapolis-Saint Paul, Minnesota (USA) urban region. Fissore et al. [11] found that  $N_r$  fluxes into the households were dominated by human diet, lawn fertilizer applications and surface transportation, which together accounted for ~85% of total household  $N_r$  inputs.

In a life-cycle analysis, Xue & Landis [12] examined the N and P eutrophication potential of food consumption patterns. They showed that different food groups exhibit a highly variable nitrogen-intensity. On average, red meat and dairy products require much more nitrogen than cereals/carbohydrates. An important point they make is that the ranking of foods' nitrogen footprints is not consistent with their carbon footprints. For example, dairy products and chicken/eggs have high nitrogen footprints but low carbon footprints.

Most recently, Galli et al. [13] have clustered a suite of indicators (ecological, carbon, water; the "Footprint Family") to track human pressure on the planet. The work was developed under the One Planet Economy Network: Europe (OPEN:EU) project, funded by the 7<sup>th</sup> Framework Program in the European Commission, and builds on the premise that no single indicator per se is able to comprehensively monitor human impact on the environment, but indicators rather need to be used and interpreted jointly. As they note in the paper, to better track the environmental impacts of production and consumption activities and assess tradeoffs, the Footprint Family could thus benefit from the inclusion in the suite of additional footprint-type of indicators such as 'nitrogen'. In that regard, one of the objectives of this paper is provide the methodology behind the nitrogen footprint to make it easier to integrate into the Footprint Family.

### 1.3 Objectives

The objectives of this paper are 1) to provide background on the scientific approaches in model development and explain the methodology of the N-Calculator and 2) to present the nitrogen footprint of the United States and the Netherlands.

## 2. Material and methods

### 2.1 Average per capita country nitrogen footprints

We define a nitrogen footprint as the total amount of  $N_r$  released to the environment as a result of an entity's resource consumption, expressed in total units of  $N_r$ . The N-Calculator focuses on four main areas of consumption: food, housing, transportation, and goods and services. The N footprint consists of the N embodied in food consumption and production as well as the  $NO_x$  emitted by fossil fuel combustion. The N footprint of each of the areas of consumption is calculated using average per capita data for a country, allowing for the calculation of a country's average per capita N footprint as well as the total N footprint for the country. This average footprint is then scaled appropriately when individuals answer questions about their personal resource consumption (Table 1).

Table 1. Overview of the N-Calculator questions for scaling the national average N-Footprint.

Topic	Question
Food	1. How many times a week do you consume different food types? Food categories <sup>a</sup> are the following: poultry, pork, beef, fish and seafood, milk, cheese, eggs, cereals, rice, vegetables, beans and other legumes, starchy roots, nuts, stimulants, and alcoholic

	beverages
	2. Is your house attached to a municipal sewer system with tertiary sewage treatment?
Energy	1. How many kWh of electricity does your household use each month? 2. How much natural gas does your household use to heat your home and to cook with each month? 3. How many people live in your household?
Transport	1. How many hours do you fly each year? 2. How far do you travel by bus or rail each week? 3. How far do you travel by car each week? 4. What kind of car do you drive?
Goods & Services	1. How would you describe your personal spending on goods and services?

<sup>a</sup>Other minor food categories were accounted for in the N-Calculator, but user questions are only asked about the categories listed above

In general the following equation holds (with electricity use as an example):

$$FP_{ind} = FP_{avg} \times EU_{ind} / EU_{avg}$$

Where:

$FP_{ind}$	Individual footprint (in this case for electricity use)
$FP_{avg}$	Average per capita footprint for a country
$EU_{ind}$	Individual electricity use
$EU_{avg}$	Average per capita electricity use for a country

This equation format can then be used for each component of an N footprint. The sum of the N footprints of each component within a sector (e.g. food, housing, transportation, and goods and services) provides the N footprint by sector, and the sum of the sectors provides the total N footprint of an individual.

Below, a more detailed description of the methodology of each sector of the N-Calculator is presented. The sectors are broken up into food (food consumption and production) and energy (housing, transportation, and goods and services).

## 2.2 Food nitrogen footprint

The calculation of a food nitrogen footprint consists of two essential parts: food consumption and food production.

### 2.2.1 Food consumption

Calculating a food consumption N footprint requires data on the average per capita consumption of food and the N content of food. Databases available from the UN Food and Agriculture Organization (FAO) provide per capita food and protein supply data, by food type [14]. While

individual countries often have their own data (e.g. the Department of Agriculture in the United States), this study utilized the FAO databases because they allow for comparisons among countries.

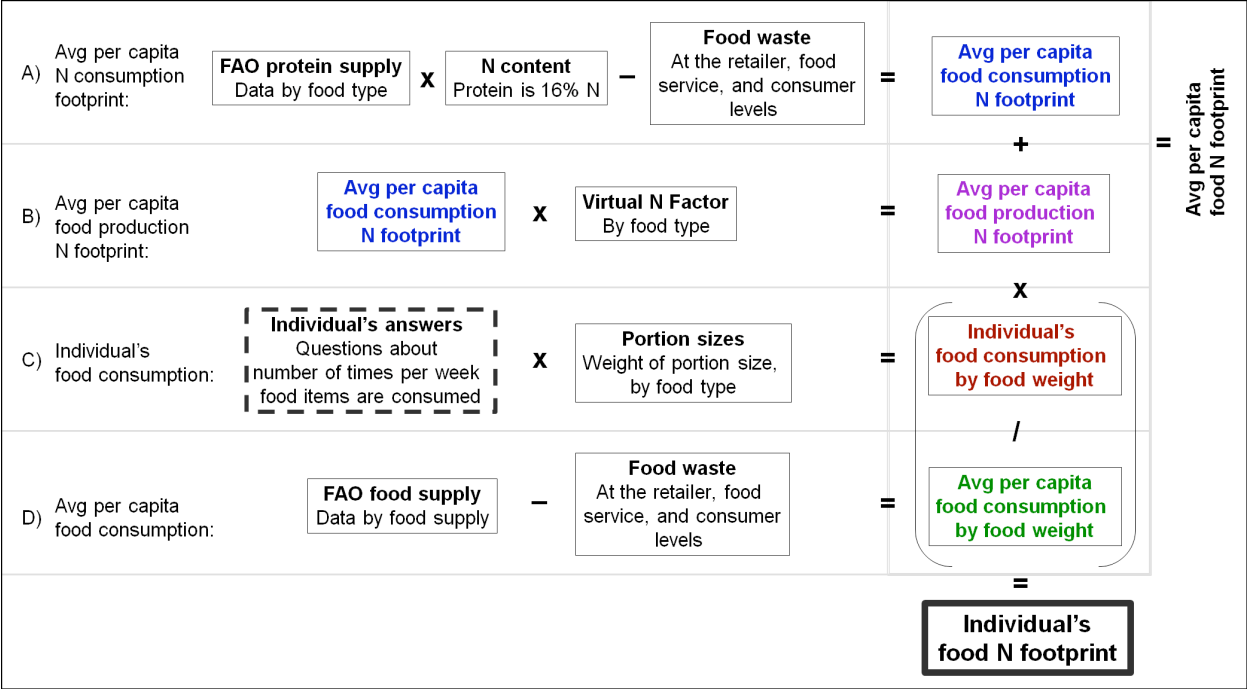
To calculate the food consumption N footprint, country specific per capita protein supply data were recorded from the FAO database by food category, including poultry, pork, beef, fish and seafood, milk, cheese, eggs, animal fats, offals, mutton, goat meat, other meat, cereals, rice, vegetables, fruits, beans and other legumes, starchy roots, nuts, stimulants, alcoholic beverages, oilcrops, spices, sugar and sweeteners, sugarcrops, and vegetable oils.

Food supply data were also recorded and were later used to estimate portion sizes. It is important to note that the protein supply data were used directly to calculate an N footprint, rather than using the food consumption data and independent estimates of the protein content of the food items. This was done because the FAO reports foods in their whole, uncooked form, including inedible items like bones.

The protein (or food) supply describes the amount of protein available for consumption, and not necessarily the amount of protein consumed, because it does not account for food waste. The amount of protein actually consumed was calculated by subtracting out food waste, which accounts for all the food losses that occur after a food product is ready and available for consumption, including waste at the retailer, foodservice, and consumer levels. Kantor [15] provides estimates of food waste by food type. The amount of nitrogen consumed was then determined using the calculated value for protein consumption and the knowledge that nitrogen makes up 16% of protein by mass (Figure 2A).

Figure 2. Calculation schematic for the nitrogen footprint for food.

This figure explains the calculation of: A) the average per capita food consumption N footprint, B) the average per capita food production N footprint, C) an individual's food consumption by food weight, and D) the average per capita food consumption, by weight, in the country. It is then shown how all of this information is used to find both a total average per capita food N footprint and an individual's total food N footprint. *Notes: 1) This figure assumes the required scaling to estimate an annual N footprint; 2) This figure is in terms of calculations by food type, but assumes the summation of all food types to find the total food N footprint; and 3) The only input required by an individual is the dashed box.*



Because the average adult does not accumulate nitrogen as muscle mass, we assumed that all nitrogen consumed is excreted and ultimately released to the environment as human waste. The exception is the use of advanced sewage treatment with nutrient removal technology that denitrifies about 90% the reactive nitrogen in the human waste [16]. When an N-Calculator is developed for a specific country, the availability of advanced sewage treatment is evaluated and considered when determining the average condition.

### 2.2.2 Food production

The food production N footprint was then calculated (Figure 2B). We refer to this nitrogen as Virtual N [17,18], and it is any nitrogen that was used in the food production process and is not in the food product that is consumed. More specifically, Virtual N includes all  $N_r$  losses related to an initial investment of nitrogen fertilizer into a system, such as fertilizer not incorporated into the plant, crop residue not part of the food product, feed not incorporated into the animal product, and all of the plant or animal products that are lost in processing and food preparation. Once all of the inputs and releases are summed, the nitrogen consumed as food can be subtracted out; the remaining amount is the Virtual N. In this case, Virtual N for food does not include the  $N_r$  released to the environment as a result of transportation or other energy use during the food production process; this  $N_r$  was calculated separately, and the procedure is described in section 2.3.

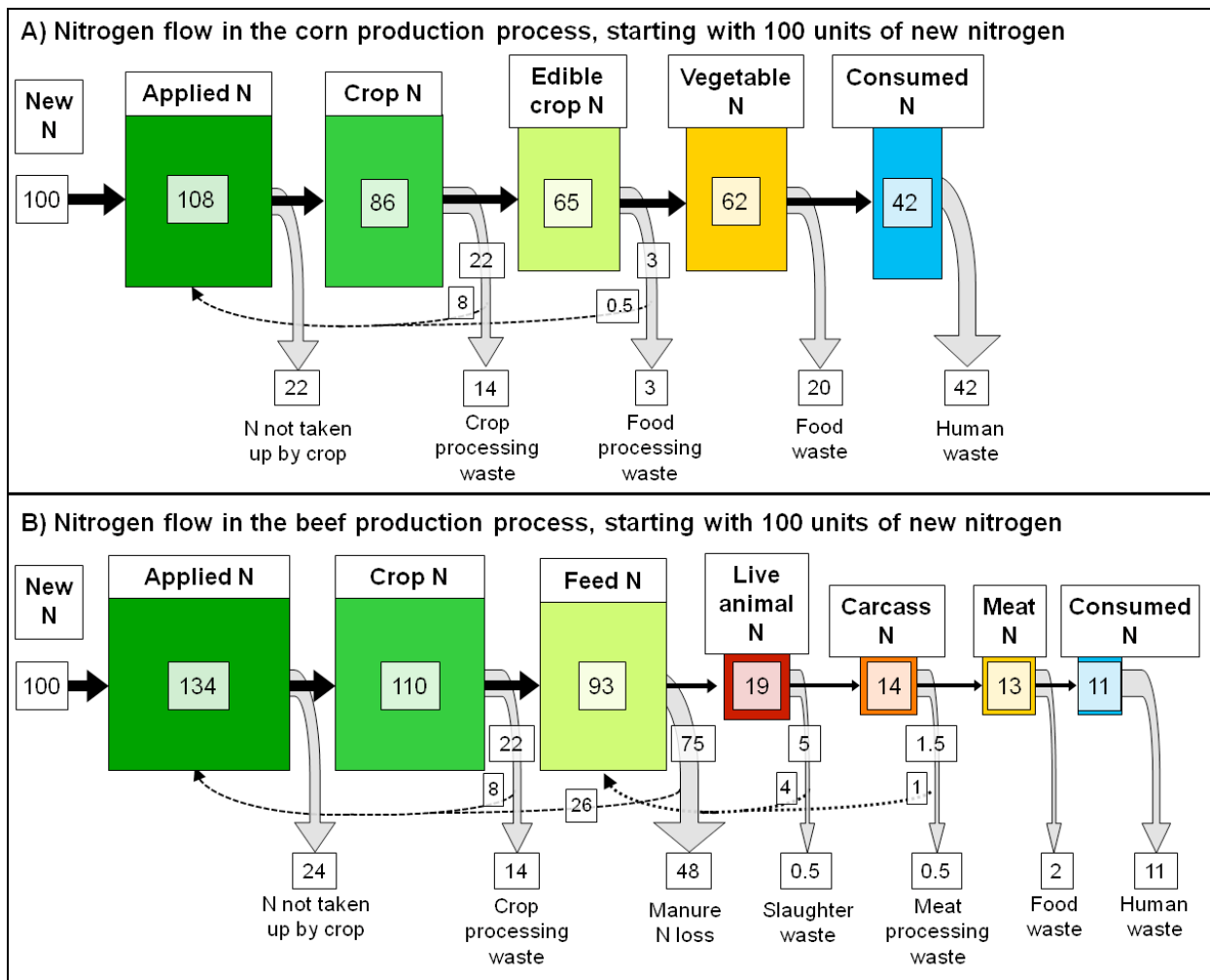
Different food products demand different amounts of nitrogen to create the consumable food product. There are substantial differences both between plant and animal products and within plant (e.g. vegetable vs. cereals) and animal (e.g. milk vs. beef) products. In that regard, both vegetable products and animal products start with the same procedure to calculate the  $N_r$  released as a result of growing a crop, but the animal product calculations extend through the production process of the actual animal.

We calculated Virtual N by relating the units of  $N_r$  released to the environment per unit of  $N_r$  consumed. Referred to as Virtual N Factors, we have estimated these factors for the following major food categories: poultry, pork, beef, milk, vegetables, starchy roots, beans, and grains. For other food categories, we assigned the available Virtual N Factor with the most similar food production process.

The food production process for each of these major food categories was analyzed at each stage of food production. Figure 3 conceptualizes these food production stages by describing the basic production process for vegetable and animal products, using corn and beef as the respective examples. Cattle are fed corn and additional feeds (forage, soybean meal, byproducts) which are produced and used in aggregate, as exemplified in the beef example. The crop uptake factors used here are higher than typically cited values [19,20,21] because the factors used in this analysis describe apparent crop uptake, or the total  $N_r$  taken up by the entire crop (including discarded residues) under optimal conditions. A more detailed description of each step can be found in the online supplementary material.

Figure 3.  $N_r$  flow in the corn and beef production processes

This explains the process used to calculate A)  $N_r$  flow in the corn production process, starting with 100 units of new  $N_r$ ; and B)  $N_r$  flow in the beef production process, starting with 100 units of new nitrogen. *Notes: 1) The colored boxes show the available  $N_r$  at each stage of the food production process, with their areas reflecting the magnitude of  $N_r$ ; 2) The black arrows show the  $N_r$  that makes it to the next stage; 3) The start of the grey arrows is the total  $N_r$  wasted, and the end of the grey arrows is the  $N_r$  lost to the environment; 4) The dotted arrows show the  $N_r$  recycled, which is subtracted from the  $N_r$  wasted to find the  $N_r$  lost to the environment; and 5) The diagrams show the summation of multiple iterations of the calculations; the iterations determine how recycled  $N_r$  is distributed throughout the system.*



For each of the steps of food production, 6 different parameters were considered: Available N, % of Previous N available, N Waste produced, % N Recycled, N Recycled, and N Loss. Each of these stages describes the total  $N_r$ , regardless of its form. These parameters track what happens to the nitrogen at each stage of food production. They consider, respectively, how much  $N_r$  is available in the food product at each stage, how much is lost as waste, how much of the waste is recycled back into the system, and how much of the waste is lost to the environment. Each of these parameters and their associated calculations are described in more detail in the online supplementary material. The parameters used and the associated references can be found in Appendix A.

The actual Virtual N Factors were calculated by dividing the total N Loss by the total Available N to find how much  $N_r$  is lost to the environment per unit of N consumption (Table 2).

Table 2. Virtual N Factors

Food category	Virtual N Factor
Animal products	
Poultry	3.4

Pork	4.7
Beef	8.5
Fish and seafood	3.0
Milk	5.7
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Vegetable products	
Vegetables	10.6
Starchy roots	1.5
Legumes	0.7
Grains	1.4
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These Virtual N Factors were calculated for industrial food production facilities that are common in developed countries. Other types of food production systems (e.g., grass-fed beef) will have different values [22,23]. Even within the industrial food production system, the values in Table 2 have some variability. We estimate that the variability of the animal protein values is larger than the vegetable protein values (i.e., 40% vs. 20%), but that the relative ranking of the values is reasonably constant.

Using this model, the N footprint for each food category was then found by summing the  $N_r$  in the consumed food, plus the Virtual N lost to the environment during the food production process. Then total average per capita food N footprint in a country was found by summing the N footprint of all the food categories (Figure 2).

Questions in the N-Calculator are asked in terms of portion sizes and the number of times per week an individual consumes a portion of food to determine the total food consumption by weight each year. Average portion sizes were calculated for each of the food categories for each country (see the online supplementary material for more information). These portion sizes were based on multiple data sources and aim to describe how much of a food type is typically consumed at a time [24,25]. For our purposes, portion sizes generally differ from the accepted serving sizes because people generally consume more than a serving size at a time [24]. For example, although the serving size of bread is typically one slice, we use a portion size of two slices of bread since individuals typically consume two slices of bread in a sitting.

To calculate an individual's N footprint, the average in a country is scaled based on an individual's answers to the questions about food consumption in terms of portion sizes (Figures 2C & 2D).

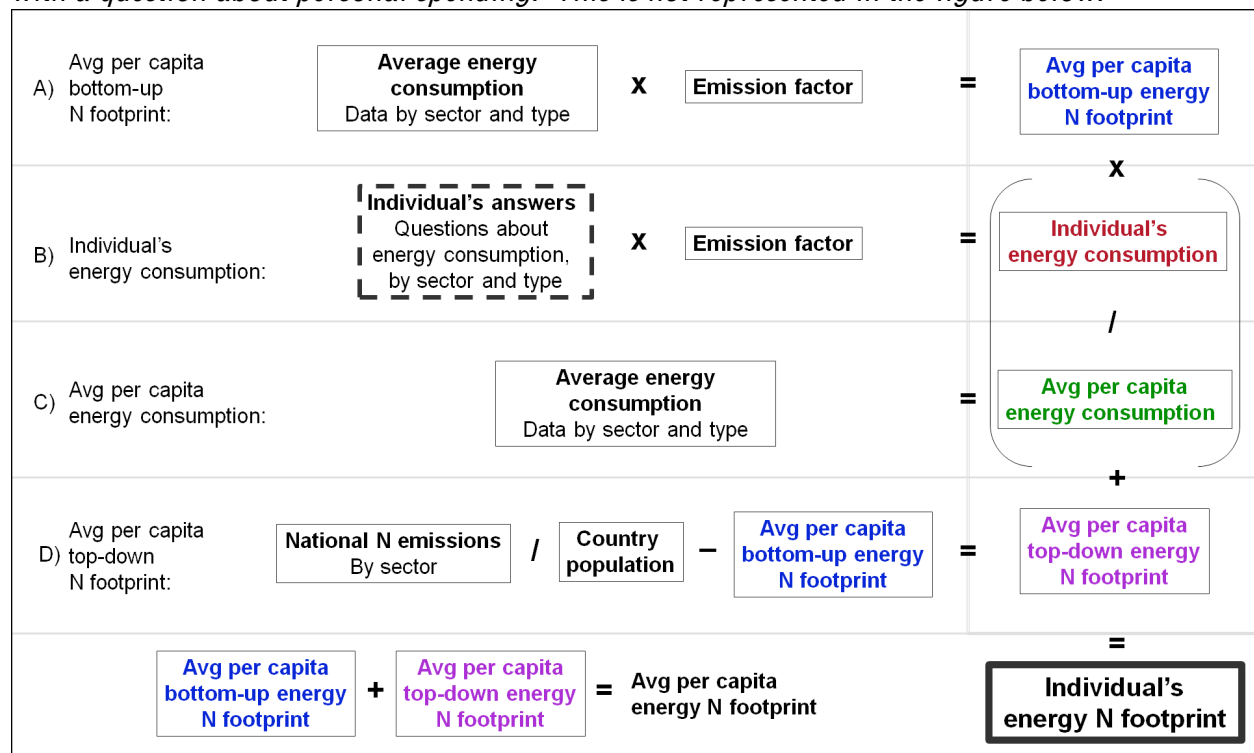
### 2.3 Energy nitrogen footprint

Energy consumption, the other major part of a nitrogen footprint, is related to the burning of fossil fuels and the resulting NO<sub>x</sub> emissions. It is comprised of three different sectors: housing (e.g. cooking, heating, cooling), transportation (e.g. car, plane, public transport) and goods and services (the energy used to provide these goods and services). Estimates of the food nitrogen footprint resulting from on-farm energy usage, transportation, and catering services were calculated as part of this analysis, and these values were added in to the food production nitrogen

footprint. This section describes the methodology to calculate the average per capita energy nitrogen footprint in a country (Figure 4).

Figure 4. Calculation schematic for the nitrogen footprint for energy.

This figure explains the calculation of: A) the average per capita bottom-up energy N footprint, B) an individual's energy consumption, C) the average per capita energy consumption, and D) the average per capita top-down energy footprint. It is then shown how all of this information is used to find both a total average per capita energy N footprint and an individual's total energy N footprint. *Notes: 1) This figure assumes the required scaling to estimate an annual N footprint; 2) This figure describes calculations by resource type, but assumes the summation of all resources to find the total energy N footprint; 3) The only input required by an individual is the dashed box; and 4) Two parts of the average per capita top-down N footprint (D) are scaled: i) food energy, scaled by individual food consumption, and ii) the goods and services sector, scaled with a question about personal spending. This is not represented in the figure below.*



The calculation of the energy N footprint is a combination of two approaches: bottom-up and top-down. The bottom-up approach is the combination of activity data (e.g. kilometers driven in a car) and an emission factor (e.g. amount of NOx emitted per kilometer driven) and is used to estimate the N footprint of consumption activities for which accurate emission factors are known, such as the use of electricity, burning natural gas, driving, flying, and riding public transit. The average activity data are collected for a country (Figure 4C), and an individual's energy consumption is determined by asking questions about personal energy usage (Table 1; Figure 4B). By multiplying the activity data with the appropriate emission factor, the total emission of that activity can be obtained (Figure 4A). The information required for this approach originates from various sources, using different methodologies and definitions for collecting this information. Therefore, special attention has to be paid to the comparability of the

data so that additional uncertainties caused by possible differences are prevented. Table 3 lists the activities accounted for using this bottom-up approach, and the data sources utilized can be found in Appendix B.

Table 3. Factors accounted for in energy N footprint, using bottom up approach

Component	Data required for calculation
Housing	
Electricity	Electricity used and average emission factor
Natural gas	Natural gas used and average emission factor
Transportation	
Plane	Hours/distance flown on a plane and a passenger emission factor
Public transit	Distance traveled on public transit and a passenger emission factor
Personal car	Distance traveled in a personal car and average emission factor

A different approach is required, however, for the Virtual N energy footprint that reaches consumers through more indirect means. For example, the emission factors described above can be used to estimate the amount of  $N_r$  emitted to the atmosphere as a result of driving a certain distance, but they do not account for the fuel used in the production of the car itself. The top-down approach provides a method for accounting for this additional Virtual N energy footprint for those consumption activities that are not covered by the emission factors in Table 3. The top-down approach first calculates the total energy N footprint for an average resident of a nation using aggregated national statistics. A calculation of the bottom-up energy N footprint for the average resident is then completed, and the difference between these two calculations represents the “missing” energy N footprint that is not captured through the emission factor approach (Figure 4D). This national average missing energy footprint is not scaled when the calculator does not ask related questions about personal consumption. The two portions of this top-down Virtual N energy footprint that are scaled are: 1) the food energy portion, scaled by personal food consumption, and 2) the goods and services sector, scaled by how the consumer rates his or her general level of resource consumption.

The top-down energy N footprint was calculated through an environmentally-extended input-output analysis, a procedure that was developed in the mid-20<sup>th</sup> century and is today widely used in the footprint and sustainable consumption and production literature [26,27,28]. This analysis only includes the  $N_r$  emissions related to consumption in a country that also occur within that country, meaning imported energy  $N_r$  is not included.

Economic input-output tables for each nation, showing the flows of money between sectors in the economy, were collected from the Organization for Economic Cooperation and Development (OECD) input-output database [29]. Direct sector-level emissions of nitrogen were then gathered from the Environmental Protection Agency for the US [30] and from the European Environment Agency’s National Emissions to LRTAP Convention dataset for the Netherlands [31].

The nation-level total emissions of nitrogen were then allocated to the economic sectors responsible for the initial, direct emission into the environment (e.g. emissions from the

combustion of fossil fuels to generate electricity were allocated to the electricity sector, emissions from the burning of fuel in mining equipment were allocated to the mining sector, etc.) to create a direct intensity vector, with units of direct  $N_r$  emissions per dollar output of each sector.

The direct intensity vector was then multiplied by a Leontief inverse matrix derived from the input-output table to calculate the total intensity vector, with units of total  $N_r$  emissions throughout the economy per dollar output of each sector [27]. The total intensity vector represents the total upstream  $N_r$  emissions that are needed for a sector to produce one dollar of output. For example, the insurance sector may have little to no direct emissions of nitrogen (a direct  $N$  intensity of zero), but through its purchases from other sectors such as the electricity sector, the service it provides to end users carries an embodied  $N$  footprint (a positive total  $N$  intensity). The total intensity vector is then multiplied by the household final demand vector to calculate the total household energy  $N$  footprint from each sector. Finally, a table produced for the United Kingdom [32] was used to relate the output of individual sectors to personal consumption categories given by the UN Classifications Registry COICOP (Classification of Individual Consumption According to Purpose) [33]. While these OECD and input-output tables and COICOP tables are widely used, they have the shortcoming of aggregating all economic activity into only 48 and 41 sectors or categories, respectively.

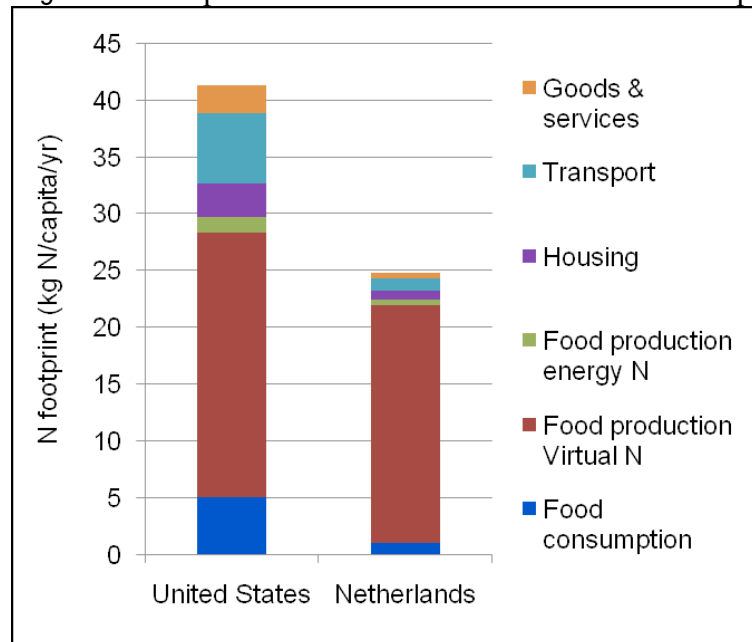
In processing the data on direct  $N_r$  emissions, we accounted for emissions of both  $NH_3$  and  $NO_x$  assuming that all  $NO_x$  is released in the form of  $NO_2$ . Neither of our data sets included emissions of  $N_2O$ . Some minor contributors to emissions in the EPA data that were difficult to allocate to sectors (e.g. fires, fugitive emissions, accidents/catastrophes) that amount to less than 1% of total US nitrogen emissions were ignored. Approximately 14% of the total  $N$  emissions could not be allocated to an individual sector for the Netherlands due to a lack of resolution in the LRTAP data.

### 3. Results

N-Calculators have been completed with data for the United States and the Netherlands. The N-Calculator found that the average per capita  $N$  footprint in the United States is 41 kg  $N$ /yr and in the Netherlands is 25 kg  $N$ /yr (Figure 5). For the per capita US footprint, food production accounts for 30 kg  $N$ /yr, of which 25 kg  $N$ /yr is lost to the environment prior to food consumption (e.g. during food production), and 5 kg  $N$ /yr is lost to the environment after food consumption (e.g. as human waste). For the per capita Netherlands footprint, food production accounts for 22 kg  $N$ /yr, of which 21 kg  $N$ /yr is lost to the environment prior to food consumption, and 1 kg  $N$ /yr is lost to the environment after food consumption. Individuals in the Netherlands consume an average of 5 kg  $N$ /yr, but the widespread use of advanced sewage treatment converts most of this reactive nitrogen into a nonreactive form. The US energy footprint totals 12 kg  $N$ /capita/yr. The energy  $N$  footprint is made up of the housing, transportation, and goods and services footprints, which are 3, 6, and 3 kg  $N$ /capita/yr, respectively. The Netherlands energy footprint totals 2 kg  $N$ /capita/yr. The NL energy  $N$  footprint is made up of the housing, transportation, and goods and services footprints, which are 1, 1, and 0.5 kg  $N$ /capita/yr, respectively. Note that the sum of the individual parts does not

necessarily equal the total due to rounding. Below, the US and Netherlands per capita N footprints are compared, both as a graph (Figure 5) and in tables (Appendix C). The top-down energy N footprint data are also available in the online supplementary material.

Figure 5. Comparison of United States and Netherlands per capita N footprints



The differences between the US and Netherlands N footprints are clearly reflected in the graph and the tables. By far the largest proportion of the Netherlands N footprint is related to food production Virtual N. Although this is also the case for the US, other sectors in the US also contribute to a substantial amount of the N footprint.

## 4. Discussion

### 4.1 Average per capita country nitrogen footprints

The substantial difference between the US (41 kg N/capita/year) and Netherlands (25 kg N/capita/year) N footprints can be attributed to a variety of reasons across the sectors. In general, both the consumption of resources and the production losses are lower in the Netherlands than in the US.

#### 4.1.1 Food sector

The amount and type of N consumed in each country determines the total N footprint. N consumption in the US is 5.2 kg N/capita/year, and it is 4.6 kg N/capita/year in the Netherlands. In the animal protein categories, the food choices in the Netherlands lead to a lower food production N footprint, per unit of N consumption. The main contributor to animal N consumption in the US is meat, whereas most of the N consumed in the Netherlands is from dairy, eggs, and fish. However, vegetable products that are less N-efficient are consumed in the

Netherlands in comparison to the US, causing the Netherlands vegetable production N footprint to be larger, per unit of N consumption. Although these food choices are important, the main driver in reducing the food production N footprint in the Netherlands is the lower N consumption.

The Netherlands N footprint is also lower because advanced sewage treatment with nutrient removal technology is utilized throughout most of the country. Using this technology removes about 78% of a food consumption N footprint in the Netherlands [34], or a reduction of about 3.6 kg N/capita/year. In the US, only about 5% of human waste is treated with advanced sewage treatment with nitrogen removal technology (Robert Bastian, US EPA, personal communication). It is important to note that advanced sewage treatment does not necessarily denitrify most of the reactive nitrogen in the human waste. There are many other forms of advanced sewage treatment that treat the waste in a different way but do not reduce an N footprint.

Although advanced sewage treatment with nutrient removal technology reduces an N footprint and  $N_r$  losses to the environment, it could be a missed opportunity. The production process of synthetic fertilizer is energy-intensive. Recycling and reusing human waste as a fertilizer and a resource would reduce the amount of synthetic fertilizer that needs to be produced.

#### 4.1.2 Energy sector

The US energy N footprint (12 kg N/capita/year) was also larger than the Netherlands energy N footprint (2 kg N/capita/year). The difference is due to both consumption habits and energy use efficiencies.

The biggest difference can be found in the transport sector and especially the personal car category. On average, Americans drive about 400 km per week, whereas the Dutch only drive about 170 km per week. The average public transit distance traveled in the Netherlands is greater than that in the US, but the emission factor for public transit is smaller so it has a limited impact on an N footprint. With the exception of public transit, all aspects of energy consumption in the US are larger. Emission factors in the US are also generally larger due to less efficient burning of fossil fuels. Both of these factors cause the US energy N footprint to be significantly larger than the Netherlands energy N footprint.

However, the Netherlands energy N footprint may be underestimated for two reasons. First, 14% of the direct sector emissions in the top-down energy N footprint could not be allocated and were not included in the footprint. Second, the imports coming into a country and their associated production and transport  $N_r$  losses are not accounted for using the current top-down energy N footprint analysis. If the Netherlands imports a significant amount of its goods, then its energy N footprint would increase with the inclusion of imported  $N_r$ .

#### 4.1.3 Significance of Virtual N factors

There is a large variation in the Virtual N Factors, which range from 0.7 to 10.6 kg N released to the environment per kg N consumed (Table 2). There is also a wide variation in their

environmental consequences, which are determined by the way in which the  $N_r$  is lost: to the air (as  $NH_3$ ,  $NO_x$ , or  $N_2O$ ) or to the ground and surface water (as  $NH_4$  or  $NO_3$ ). Depending on the loss route and form, the Virtual N will have different consequences to the environment. If the contribution of these N species to the different environmental effects were taken into account, the consumption of consumer products could be better ranked according to environmental consequences. This effort would require additional spatial information about the  $N_r$  losses and the region of interest. Since this information extends beyond the scope of the current N footprint calculator, the ranking of the N forms and their consequences is not taken into account here. However, this important analysis will be completed and utilized in the N-Producer and N-Policy models of the final N-PRINT system.

#### 4.2 Top-down N footprint estimate: A cross-check

The N-Calculator estimates the nitrogen footprint of countries by using data on total food consumption from the FAOSTAT, Virtual N factors associated with food consumption, and with fossil fuel emissions associated with housing, transportation, goods and services. In this regard it is a bottom-up calculation in that it is based on consumption of food and energy. There is another independent method that calculates the same thing. This method is a top-down analysis, as it starts with how much  $N_r$  is introduced into the country from food and energy production. More specifically, the  $N_r$  sources are 1)  $NO_x$  formed during fossil fuel combustion, 2)  $N_r$  fixed by cultivation-induced BNF, and 3) fertilizer applied to grow food. It is important to note that this top-down analysis is different from the top-down energy N footprint described in section 2.3.

Since this is an independent analysis, it can be used to cross-check the value from the bottom-up calculation in N-Calculator. The comparison of this top-down to the N-Calculator bottom-up approach works best for those countries where food production is within the countries' borders (i.e., limited food imports) because all of the production-related  $N_r$  losses occur in the consuming country.

As an example, we did this for the US (where 95% of the food consumed is produced within the country) using a recent analysis of the national nitrogen budget for 2002 [4].

- 1) For fossil fuel combustion, 5.7 Tg N/yr was introduced into the US atmosphere. Expressed on a per-capita basis, it is 19 kg N/yr.
- 2) For food production, C-BNF and fertilizer application introduces 7.7 Tg N/yr and 9.8 Tg N/yr, respectively, into agroecosystems. Expressed on a per-capita basis, it is 58 kg N/yr.

These can be compared to the energy and food components of N-Calculator. For the former, the total for housing, transportation and goods and services (whose contribution to the N footprint is measured as  $NO_x$  emissions from fossil fuel combustion) is 12 kg N/capita/yr, compared to the top-down estimate of 19 kg N/capita/yr.

For food production, the average footprint from the bottom-up is 30 kg N/capita/yr, compared to the top-down estimate of 58 kg N/capita/yr. The reason for the difference in the food calculation is because the bottom-up is consumption-based—it starts with what people consume and then

works down the production stream to calculate the total N footprint. However, the top-down examines all the  $N_r$  that is used to produce both what is consumed, and what is exported. For a correct comparison, the amount of  $N_r$  that is exported and its production losses needs to be subtracted from the 58 kg/capita/yr. Since the amount exported is 4.1 Tg N/yr (14 kg N/capita/yr), and since it is mostly grain (i.e., wheat, maize, soybeans) which has production losses of about 60% of the grain exported, the total  $N_r$  associated with grain that is exported is 6.6 Tg N/yr. This leads to a corrected top-down food production value of 36 kg N/person/yr, which compares well with the consumption based, bottom-up analysis or 30 kg N/person/yr. The remainder of the difference is likely explained by the inclusion of agriculture used for non-food purposes, such as corn for ethanol, in the top-down estimate but not in the bottom-up estimate.

### 4.3 Quality of the data

Due to the nature of the N footprint analysis in finding averages in a country, there are uncertainties in the data. The averages used in the N-Calculator are appropriate for a country overall, but may not be suitable for an individual's situation. For example, because the N-Calculator focuses on conventional agriculture, the N footprint of organic beef may not be accurately calculated. However, the tool in its current version aims to serve as an educational device that can show individuals the relative nitrogen impact of their decisions, rather than to precisely calculate the N footprint of specific situations.

#### 4.3.1 Food data

The FAO data, which were used for food consumption estimates, are generally reliable for developed countries. The consumption data is reported by individual countries to the FAO, making the FAO dependent on the resources available in a country.

The individual parameters used to calculate the Virtual N Factors (Appendix A) ranged in level of certainty. Capturing average estimates for the food production process is difficult because much variation exists, even within a single farm. Furthermore, Virtual N Factors were only developed for the major food categories, meaning that a factor is not available for every food category. In these cases, the Virtual N Factor for the food produced most similarly was used, for example the vegetables factor was used for fruit. The Virtual N Factors also only describe the average industrial food production process in the US. Although very similar, the food production process in the Netherlands is different than the US. Additionally, much variation exists in the food production process within the US. As the Virtual N Factors describe the average, they cannot accurately calculate the N footprint of a food item grown using unconventional methods. The N-Calculator provides a framework for using different data from different sources.

#### 4.3.2 Energy data

The energy data in the N-Calculator describe the average situation in a country. Country averages for national  $N_r$  emissions, resource consumption, and emission factors were obtained from reputable sources (Appendix B).

Although individuals using the N-Calculator can modify the average resource consumption to fit their lifestyle, they do not have as much control over the emission factors. These average emission factors accurately describe a country overall, but may not accurately represent an individual's consumption choices. For example, the electricity emission factor assumes the average type of fuel used to produce electricity; individuals cannot select a specific energy source like nuclear power, solar energy, or coal. However, some exceptions exist. For example, in the US, an individual can select his home state, and the N-Calculator will then select the average for that state rather than for the country overall. Additionally, users can choose what kind of car they drive, as different cars can have varying efficiencies.

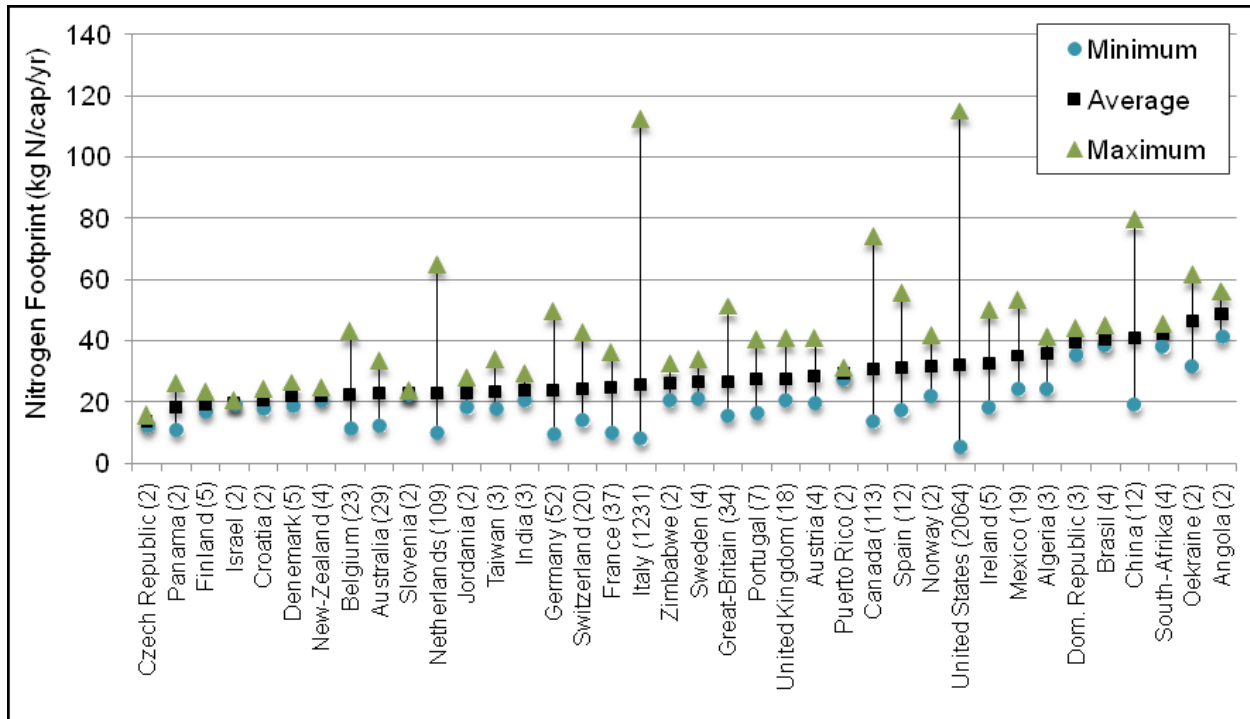
Many of the items listed above as shortcomings in both the food and energy data will be improved upon in the future. For example, the next version of the N-Calculator will account for additional food production methods and it will provide users with more options in the energy section to better represent their personal situation. However, the N-Calculator will never perfectly calculate the N footprint of every situation because it is an educational tool that relies on averages.

#### 4.4 Use of the N-Calculator to date

The calculator was launched in February 2011 and since then it has been tested and used by over 5,000 people (Figure 6). The distribution gives an impression of the interest people have in receiving information about their personal footprint. When viewing the data, it is important to note that individuals often use the online N-Calculator to test scenarios, meaning that the outliers are often not accurate N footprint estimates of individuals. Additionally, individuals from countries other than the US and the Netherlands must select one of those two countries to use the N-Calculator, possibly making their N footprint results unsuitable for their situation.

Figure 6. Average N footprints of online users of the N-Calculator

*Notes: 1) The numbers in brackets after the country names show the number of footprints calculated per country using the N-Calculator.*



## 5. Conclusions

Over the past few years, several footprint approaches have been developed for water, carbon and for the ecological effects of human consumption. Here we present the first N footprint calculator. The disruption of the regional to global N cycle and its consequences is not well known to the public and to policymakers. Furthermore, because of the complexity of the issue there is only limited knowledge about how to optimize the increasing need for food and energy while limiting the effects of  $N_r$  in the environment. Such effects include the impacts on ecosystem services (including food production, biodiversity and other services), the effects on human health (air and water quality) and the impacts on climate change.

Here we present the N-Calculator as a first step in the development of a set of tools to help policymakers, producers and consumers. The N-Calculator is a personal N footprint calculator that provides an estimate for a person in a certain country of the  $N_r$  that he or she consumes in food and uses through fossil fuels and the  $N_r$  that was used in production (and lost to the environment) to produce the food, energy and products. This tool is based on the general footprint data for the country, changed by the personal consumption patterns obtained by an inquiry on the web ([www.N-PRINT.org](http://www.N-PRINT.org)). The calculator uses the best available country specific data on emission factors, resource consumption, etc. We selected the best scientific knowledge to provide the parameters and factors. The range of some of the parameters might be big and uncertainty can be large, but since this is a relative indicator and used as such, this does not make a difference for the main purpose of the calculator, which is to help consumers understand the issues and find ways to reduce their N footprint.

The overarching message from the N-Calculator is that our lifestyle choices, and especially our food consumption, have major impacts on the  $N_r$  losses to the environment. A reduction in meat consumption is a very efficient way of reducing an N footprint. Furthermore, the type of meat and the way it is produced makes a difference. Energy consumption is generally very high in developed countries, and limiting our resource consumption would positively impact our energy N footprints. Communicating these messages to all of the stakeholders (the public, policymakers, and governments) through tools like the N-Calculator will help reduce  $N_r$  losses to the environment.

The next steps in the development of the N-PRINT tool will be to link the  $N_r$  losses to effects. For this we will need to estimate the losses to the environment in terms of emissions to the air (ammonia and NO<sub>x</sub>) and the run-off and leaching to groundwater and rivers (nitrate). Additionally, we will have to link this to the cascading effects in different regions to the global scale to provide quantitative estimates of the relationship between the Virtual N and the environmental impacts. Finally, we will have to collect data, parameters and factors to enable footprints for different regions/countries in the world. The basis for the N-Producer and N-Policy models is laid out with the N-Calculator. However, several further developments are needed in this direction, which will be addressed in future papers.

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## Appendix A. Food production N footprint data and references

This appendix lists the steps, data, and references used to calculate Virtual N Factors, which describe the  $N_f$  lost to the environment throughout the food production process for the major food categories.

Table A.1. Vegetables: Data and references for the factors used to calculate vegetable Virtual N Factors, part 1

		Food production steps											
Food category	Specific foods	A) Application				B) Uptake/loss				C) 1st Processing			
		% of Previous	Ref.	% Recycled	Ref.	% of Previous	Ref.	% Recycled	Ref.	% of Previous	Ref.	% Recycled	Ref.
Vegetables	Average	1	-	0	-	0.20	1,2	0	-	0.75	1 <sup>a</sup> ,2 <sup>a</sup>	0.35	1 <sup>a</sup> ,2 <sup>a</sup>
	Broccoli	-	-	-	-	0.25	1,2	-	-	-	-	-	-
	Lettuce	-	-	-	-	0.19	1,2	-	-	-	-	-	-
	Tomato	-	-	-	-	0.19	1,2	-	-	-	-	-	-
	Spinach	-	-	-	-	0.17	1,2	-	-	-	-	-	-
Starchy roots	Potatoes	1	-	0	-	0.87	1,2	0	-	0.75	1 <sup>a</sup> ,2 <sup>a</sup>	0.35	1 <sup>a</sup> ,2 <sup>a</sup>
Legumes	Beans	1	-	0	-	0.90	1,2	0	-	0.75	1 <sup>a</sup> ,2 <sup>a</sup>	0.35	1 <sup>a</sup> ,2 <sup>a</sup>
Grains	Corn	1	-	0	-	0.80	1,2	0	-	0.75	1 <sup>a</sup> ,2 <sup>a</sup>	0.35	1 <sup>a</sup> ,2 <sup>a</sup>
		Food production steps											
Food category	Specific foods	D) 2nd Processing				E) Food waste							
		% of Previous	Ref.	% Recycled	Ref.	% of Previous	Ref.	% Recycled	Ref.				
Vegetables	Average	0.75	1 <sup>a</sup> ,2 <sup>a</sup>	0.15	1 <sup>a</sup> ,2 <sup>a</sup>	0.75	3	0	-				
	Broccoli	-	-	-	-	-	-	-	-				
	Lettuce	-	-	-	-	-	-	-	-				
	Tomato	-	-	-	-	-	-	-	-				
	Spinach	-	-	-	-	-	-	-	-				
Starchy roots	Potatoes	0.75	1 <sup>a</sup> ,2 <sup>a</sup>	0.15	1 <sup>a</sup> ,2 <sup>a</sup>	0.75	3	0	-				
Legumes	Beans	0.95	1 <sup>a</sup> ,2 <sup>a</sup>	0.15	1 <sup>a</sup> ,2 <sup>a</sup>	0.84	3	0	-				

Grains	Corn	0.95	1 <sup>a</sup> ,2 <sup>a</sup>	0.15	1 <sup>a</sup> ,2 <sup>a</sup>	0.68	3	0	-
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<sup>a</sup> Estimates for nitrogen lost and recycled during crop processing were based on crop composition information provided in the noted references

Table A.2. Meat: Data and references for the factors used to calculate meat Virtual N Factors, part 1

		Food production steps											
Food category	Specific foods analyzed	A) Application				B) Uptake/loss				C) Crop processing			
		% of Previous	Ref.	% Recycled	Ref.	% of Previous	Ref.	% Recycled	Ref.	% of Previous	Ref.	% Recycled	Ref.
Poultry	Broiler chicken	1	-	0	-	0.82	4	0	-	0.75	4	0.35	4 <sup>a</sup>
Pork	Pork	1	-	0	-	0.82	4	0	-	0.75	4	0.35	4 <sup>a</sup>
Beef	Beef	1	-	0	-	0.82	4	0	-	0.80	4	0.35	4 <sup>a</sup>
Fish and seafood	Fish	1	-	0	-	0.80	4	0	-	0.75	4	0.35	4 <sup>a</sup>
		Food production steps											
Food category	Specific foods analyzed	D) Feed consumption				E) Livestock slaughter				F) Food processing			
		% of Previous	Ref.	% Recycled	Ref.	% of Previous	Ref.	% Recycled	Ref.	% of Previous	Ref.	% Recycled	Ref.
Poultry	Broiler chicken	0.45	5 <sup>b</sup>	0.35	7	0.75	8 <sup>c</sup>	0.90	8 <sup>c</sup>	0.90	8 <sup>c</sup>	0.90	8 <sup>c</sup>
Pork	Pork	0.35	5 <sup>b</sup>	0.35	7	0.75	8 <sup>c</sup>	0.90	8 <sup>c</sup>	0.90	8 <sup>c</sup>	0.90	8 <sup>c</sup>
Beef	Beef	0.20	5 <sup>b</sup>	0.35	7	0.75	8 <sup>c</sup>	0.90	8 <sup>c</sup>	0.90	8 <sup>c</sup>	0.90	8 <sup>c</sup>
Fish and seafood	Fish	0.30	6	0.35	7	0.75	8 <sup>c</sup>	0.90	8 <sup>c</sup>	0.90	8 <sup>c</sup>	0.90	8 <sup>c</sup>
		Food production steps											
Food	Specific	G) Food waste											

category	foods analyzed	% of Previous	Ref.	% Recycled	Ref.
Poultry	Broiler chicken	0.84	3	0	-
Pork	Pork	0.84	3	0	-
Beef	Beef	0.84	3	0	-
Fish and seafood	Fish	0.84	3	0	-

<sup>a</sup> Estimates of nitrogen recycled during feed crop processing were based on crop composition information in the noted reference

<sup>b</sup> Estimates of feed nitrogen retained were calculated using data on livestock life stages and weights, nitrogen excretion rates, percent nitrogen of live weight, and nitrogen intake from the noted reference

<sup>c</sup> Estimates of nitrogen lost and recycled during livestock slaughter and meat processing were based on information on meat production practices from the noted reference

Table A.3. Animal products: Data and references for the factors used to calculate animal product Virtual N Factors, part 1

		Food production steps											
Food category	Specific foods analyzed	A) Application				B) Uptake/loss				C) Crop processing			
		% of Previous	Ref.	% Recycled	Ref.	% of Previous	Ref.	% Recycled	Ref.	% of Previous	Ref.	% Recycled	Ref.
Milk	Milk	1	-	0	-	0.82	4	0	-	0.85	4	0.35	4 <sup>a</sup>
		Food production steps											
Food category	Specific foods analyzed	D) Feed consumption				E) Milking				F) Processing			
		% of Previous	Ref.	% Recycled	Ref.	% of Previous	Ref.	% Recycled	Ref.	% of Previous	Ref.	% Recycled	Ref.
Milk	Milk	0.25	5 <sup>b</sup>	0.35	7	0.98	9 <sup>c</sup>	0.90	9 <sup>c</sup>	0.98	9 <sup>c</sup>	0.90	9 <sup>c</sup>
		Food production steps											
Food	Specific	G) Food waste											

category	foods analyzed	% of Previous	Ref.	% Recycled	Ref.
Milk	Milk	0.68	3	0	-

<sup>a</sup> Estimates of nitrogen recycled during feed crop processing were based on crop composition information in the noted reference

<sup>b</sup> Estimates of feed nitrogen retained were calculated using data on livestock life stages and weights, nitrogen excretion rates, percent nitrogen of live weight, and nitrogen intake from the noted reference

<sup>c</sup> Estimates of nitrogen lost and recycled during milking and milk processing were based on information on milk production practices from the noted reference

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## Appendix B. Energy N footprint data and references

This appendix lists the data and references used to calculate the bottom-up energy N footprint.

Table B.1. Bottom-up energy N footprint data and references

Sector	United States				Netherlands			
	Consumption	Ref.	Emission factor <sup>a</sup>	Ref.	Consumption	Ref.	Emission factor <sup>a</sup>	Ref.
<b>Housing</b>								
Electricity	900 kwh/month/household	1	0.000297 kg N/kwh	3	647 kwh/month/household	13	0.000102 kg N/kwh	13, 15, 16
Natural gas	184 m3/month/household	1	0.000475 kg N/m3	4	133 m3/month/household	14	0.000495 kg N/m3	13, 14, 15, 16
Number of people per household	2.6	2	-	-	2.2	13	-	-
<b>Transportation</b>								
Plane	3.8 hours/person/year	5,6 <sup>b</sup>	0.128 kg N/hour	5,6 <sup>b</sup> , 8	0.31 hours/person/year	13	0.128 kg N/hour	5, 6 <sup>b</sup> , 8
Public transit	5.3 km/person/week	7	0.000150 kg N/passenger-km	9	26.1 km/person/week	13	0.0000426 kg N/passenger-km	17
Personal car: Average /sedan	400 km/person/week	7	0.000189 kg N/km	10	171 km/person/week	13	0.000101 kg N/km	13
Hybrid	-	-	0.00000144 kg N/km	11	-	-	-	-

Light truck / SUV	-	-	0.000342 kg N/km	12	-	-	-	-
Sedan petrol	-	-	-	-	-	-	0.0009 kg N/km	13
Sedan diesel	-	-	-	-	-	-	0.00015 kg N/km	13
Sedan hybrid	-	-	-	-	-	-	0.00012 kg N/km	13
Small van petrol	-	-	-	-	-	-	0.00027 kg N/km	13
Small van diesel	-	-	-	-	-	-	0.00024 kg N/km	13
Small van gas	-	-	-	-	-	-	0.00027 kg N/km	13

<sup>a</sup> These emission factors describe the NO<sub>x</sub> emitted, converted to units of N assuming all NO<sub>x</sub> is NO<sub>2</sub>

<sup>b</sup> Estimate based on average flight information for commercial planes, taking into account take-off speeds and cruising speeds

#### References for bottom-up energy N footprint

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## Appendix C. Results of the N-Calculator

This appendix breaks down the N-Calculator results for the US and the Netherlands into more specific categories, such as specific food types and specific categories in the housing sector.

**Table C.1. Comparison of United States and Netherlands food N footprints**

United States						
Sector	Food consumption N, and (after sewage treatment) ( <i>kg N/capita/year</i> )		Food production Virtual N ( <i>kg N/capita/year</i> )	Food production energy N ( <i>kg N/capita/year</i> )	Total food N footprint ( <i>kg N/capita/year</i> )	
Meat	2.1	(2.0) <sup>a</sup>	11.2	0.1	13.3	
Dairy/eggs/fish	1.4	(1.3) <sup>a</sup>	7.0	0.3	8.6	
Vegetables	1.8	(1.7) <sup>a</sup>	5.1	1.0	7.8	
Total	5.2	(5.0) <sup>a</sup>	23.3	1.4	29.7	
Netherlands						
Sector	Food consumption N, and (after sewage treatment) ( <i>kg N/capita/year</i> )		Food production Virtual N ( <i>kg N/capita/year</i> )	Food production energy N ( <i>kg N/capita/year</i> )	Total food N footprint ( <i>kg N/capita/year</i> )	
Meat	1.3	(0.3) <sup>b</sup>	6.8	0.0	7.1	
Dairy/eggs/fish	1.8	(0.4) <sup>b</sup>	9.3	0.1	9.8	
Vegetables	1.5	(0.3) <sup>b</sup>	4.9	0.4	5.6	
Total	4.6	(1.0) <sup>b</sup>	20.9	0.5	22.4	

<sup>a</sup> As only 5% of homes in the US have tertiary sewage treatment with nitrogen removal technology, it is assumed that the average person's waste is not treated with this technology. The calculation above in parentheses shows the average US footprint with 5% treatment.

<sup>b</sup> The widespread use of advanced sewage treatment in the Netherlands leads to a 78% reduction in the Netherlands food consumption N footprint, which is reflected in the parentheses in the food consumption N column.

**Table C.2. Comparison of United States and Netherlands energy N footprints**

Sector	United States ( <i>kg N/capita/year</i> )	Netherlands ( <i>kg N/capita/year</i> )
Housing	3.0	0.8

Electricity	1.2	0.4
Natural Gas	0.4	0.4
Other	1.4	0.1
<b>Transport</b>	<b>6.0</b>	<b>1.1</b>
Plane	0.5	0.0
Public Transit	0.0	0.1
Personal Car	3.9	0.9
Other	1.6	0.1
<b>Goods &amp; Services</b>	<b>2.5</b>	<b>0.5</b>
Goods	1.2	0.3
Services	1.3	0.2